# UNSTRUCTURED DATA UNIVERSITY AT BUFFALO

Peter L. Elkin, MD, MACP, FACMI, FNYAM Director, Informatics Core of the UB CTSA Professor and Chair, Department of Biomedical Informatics

- Professor of Internal Medicine
- **Professor of Surgery**
- Professor of Pathology and Anatomical Sciences



### Sources of Unstructured Data

- Documents
- Reports
- Legions of Figures
- Tabular data names
- Field names in databases

## Some Datatypes are Only accessible from Unstructured Data

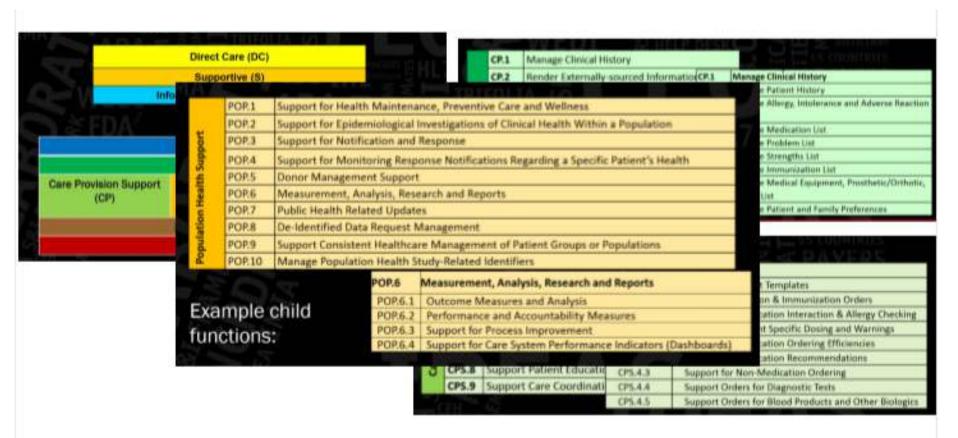
- Social Determinants of Health
- Signs and Symptoms
- Physical Exam findings
- Counseling
- Quality of Life
- Behavioral Data
- Street drug use
- Opinions

### Electronic Health records

- Began in the 1960's
  - HELP Utah
  - CoSTAR MGH
- Commercial Systems
  - Technicon from Lockheed 1963 developed for El Camino Hosopital used NIH clinical center – and later become TDS (Han Article)
  - Meditech 1969
  - 1977 MUM{PS was developed as a standard
  - 1979 Epic started as an outpatient system
  - 1979 Cerner which started as a lab system
  - 1980s Boston Beth Israel System
  - 1980 Regenstrief Institute of Indiana University
  - 1981 VA Distributed Hospital Computing Program
  - 1994 DHCP became VistA
  - 1994 CPRS
  - 2009 ARRA EHR Adoption



# Electronic Health records Functional Specification from HL7





#### Best in KLAS: Software

Category	Recipient
Acute Care EMR (Large Hospital/IDN)	Epic EpicCare Inpatient EMR
Anesthesia	iProcedures iPro Anesthesia
Cardiology	Merge, an IBM Company, Cardio
Community HIS	MEDITECH C/S Community HIS (6.x)
Emergency Department	Wellsoft EDIS
Enterprise Resource Planning (ERP)	Premier PremierConnect ERP Solutions
Global (Non-US) Acute Care EMR	InterSystems TrakCare EPR
Global (Non-US) PACS	Sectra PACS
Global (Non-US) Patient Administration Systems	InterSystems TrakCare PAS
Health Information Exchange (HIE)	Epic Care Everywhere
Healthcare Business Intelligence & Analytics	Health Catalyst Analytics Platform
Homecare	Thornberry NDoc
Laboratory (Large Hospital/IDN)	Epic Beaker
Long-Term Care	MatrixCare
PACS (Large Hospital/IDN)	Sectra PACS
Patient Access	Experian Health eCare NEXT
Patient Accounting & Patient Management (Large Hospital/IDN)	Epic Resolute Hospital Billing
Patient Portals	Epic MyChart
Population Health	Enli CareManager i2i Population Health i2iTracks
Speech Recognition—Front-End	MModal Fluency Direct
Surgery Management	Cerner Surgical Management
VNA/Image Archive	Merge, an IBM Company, iConnect Enterprise Archive



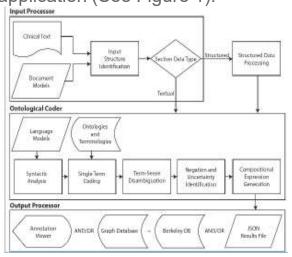
High Performance Computing and Natural Language Understanding Peter L. Elkin<sup>1</sup>, Daniel R Schlegel<sup>2</sup>, Christopher Crowner<sup>1</sup>, Frank LeHoullier<sup>1</sup> <sup>1</sup>Department of Biomedical Informatics, University at Buffalo, SUNY, Buffalo, NY USA <sup>2</sup>SUNY Oswego, New York USA

#### Introduction

Big data is expanding exponentially. We are looking at housing, processing, analyzing and retrieving Petabytes of data every day. With the advent of Genomic and Proteomic data we are increasingly challenged with understanding the patient's phenotype with greater specificity and detail This is going to require developing and applying ontology at a more granular and consistent fashion.

#### Methods

The UB Center for Computational Research (CCR) is an NSF sponsored supercomputing facility where we can scale to 16,000 nodes. We have a large number of high memory (>64GB) nodes. We installed a script to access the CCR scheduling application and deployed our HTP application (See Figure 1).



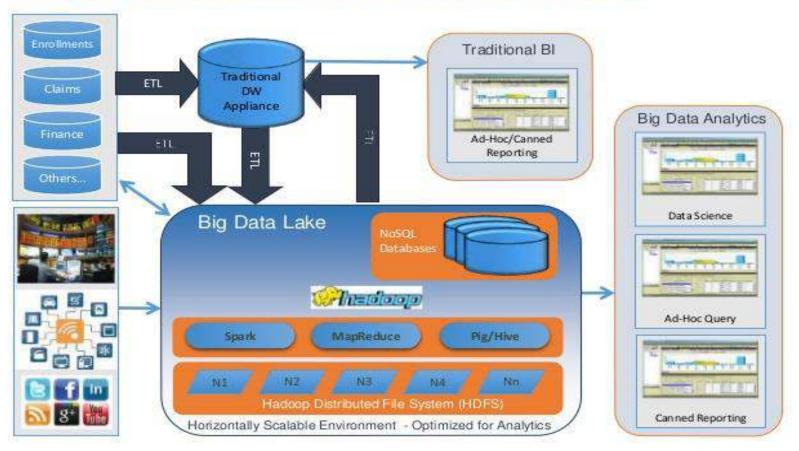


#### Results

We have 212,343 patients in our observational database. We have 7,000,000 clinical notes and reports and they have generated 750,000,000 SNOMED CT codes. Structured data are held in SQLServer<sup>TM</sup> in OMOP / OHDSI format. The ontology codes such as in SNOMED CT are held in a Berkley DB, NOSQL database. The compositional expressions are held in Neo4J (a graph database) and also in Graph DB (a triple store). Our retrieval times for real clinical questions average between 2 and 3 seconds.

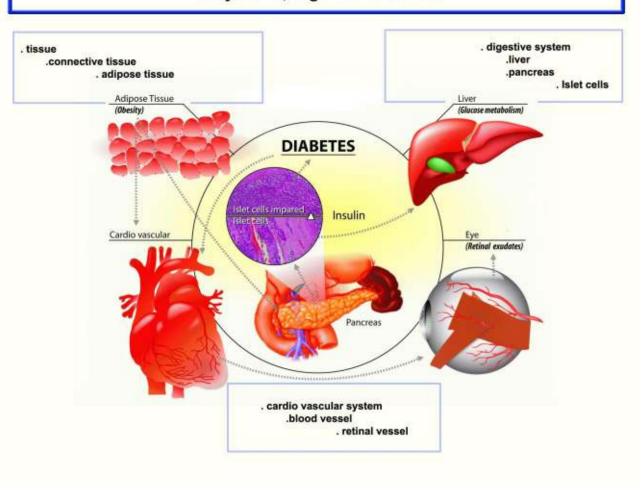
## Observational Data are formatted for OMOP (OHDSI) and i2b2

### The Evolution of Modern Data Engineering



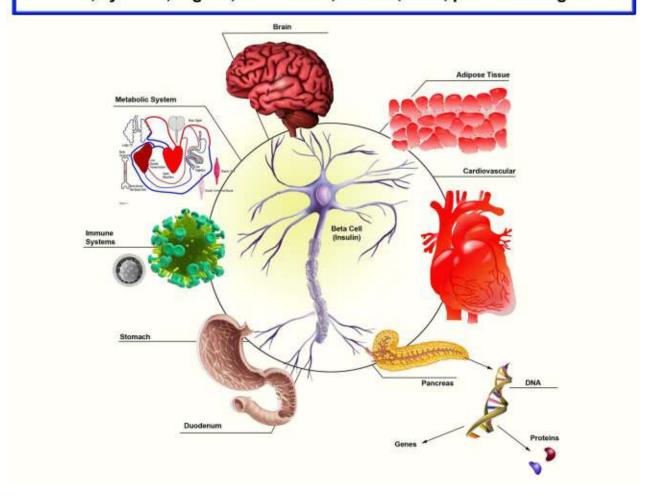


# Medical Ontology: Relationships between diseases, disorders, & systems, organs and tissues





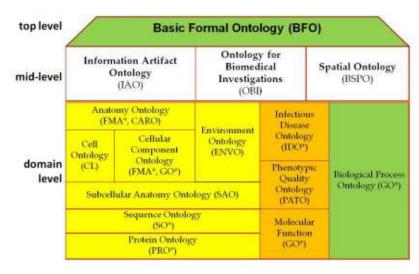
Biomedical Ontology : Neuronal interaction between diseases, systems, organs, substances, tissues, cells, proteins and genetics





### **Basic Formal Ontology (BFO)**

# Defines the high-level structures common to all domains Connects → Health − Basic Science − Finance & Engineering

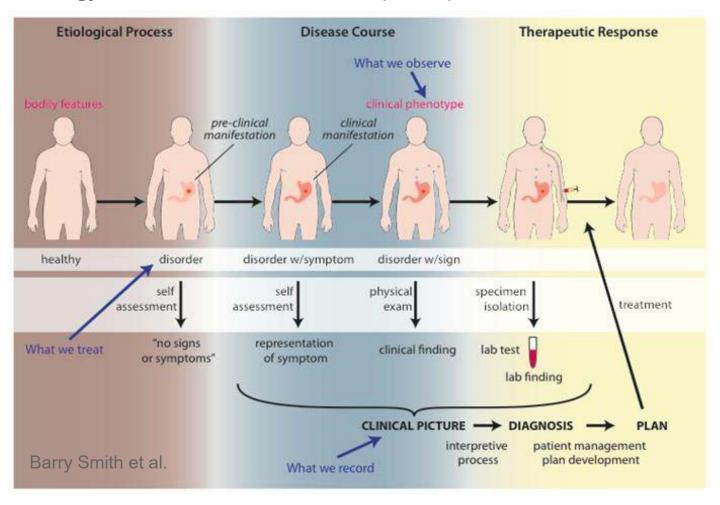


Ceusters W, Elkin P, Smith B. Negative findings in electronic health records and biomedical ontologies: a realist approach. Int J Med Inform. 2007 Dec;76 Suppl 3:S326-33.

- Cell Ontology (NHGRI, NIAID)
- · eagle-i and VIVO (NCATS)
- Environment Ontology (GSC)
- Gene Ontology (NHGRI)
- · IDO Infectious Disease Ontology (NIAID)
- Nanoparticle Ontology (PNNL)
- Ontology for Risks Against Patient Safety (EU)
- Ontology for Pain, Mental Health and Quality Of Life (NIDCR)
- Plant Ontology (NSF)
- Protein Ontology (NIGMS)
- · Translational Medicine Ontology (W3C)
- US Army Biometrics Ontology (DOD)
- · Vaccine Ontology (NHBLI)



#### **Ontology of General Medical Sciences (OGMS)**





# Level Three Ontology

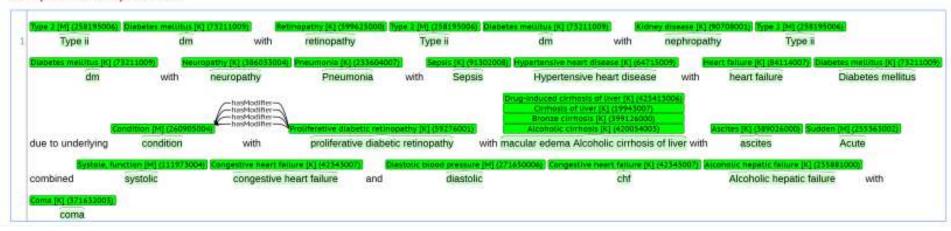
- Fully Encoded Health Record
- Consistent with the Level One and Two Ontologies for Health
- Compositional Expressions are assigned Automagically
- Information is gathered through the usual documentation of patient care.
- Example.....



#### SNOMED Codes:

Type ii		dm	with	retinopathy		ype ii	dm	with	nephropathy	Type ii	
obetes mejutu	s [K] (73211009)	Neurop	atny (K) (386033004	Pneumonia (K) (23360400)		Sepsis (K) (91302008)	Hypertensive heart di	sease (K) (647150	O9) Hear	t fallure [K] (84114007)	Diabetes mellitus [K] (732
dr	n ,	with	neuropathy	Pneumonia	with	Sepsis	Hypertensive I	heart disease	with	heart failure	Diabetes mellitus
						Drug-induced o	ormasis of liver (K) (42	5415006)			
							a of Uver (K) (1994300				
	Condition (M	(260905004	Protiferative	diabetic retinopathy [K] (59)	176001)		cirrnosis [K] (5991.2600 : cirrnosis (K) (4200.540		Ascites [K] (389)	26000) Sudden (M) (25	5363002)
e to underly	ing cond	Andrews .	with prolife	rative diabetic retinopath	ny	with macular eden	na Alcoholic cirrhos	is of liver with	ascites	Acute	combined

#### Compositional Expressions:



### Case

#### HISTORY OF PRESENT ILLNESS:

#### #1 Chest pain

Patient is a 57-year old gentleman with a 80-pack-year smoking history. He has a family history of early coronary disease on his father's side, as his father had a heart attack at age 43. Patient does not exercise very much. He drinks 2 ounces of alcohol a day. He has type ii diabetes mellitus, hypertension, nor does he know his cholesterol level. Patient was in his usual state of health until 2 months ago when he began having exertional dyspnea and chest pain at peak exercise. Patient could walk 4 blocks and up 2 flights of stairs before he would have crushing substernal chest pain, which radiated to his left arm. On a scale of 0 to 10, it was as bad as 8 out of 10. Patient had some diaphoresis and dyspnea associated with the chest pain. He would sit down and this would be relieved after about 15 minutes. Patient has taken it upon himself to limit his activities based on this symptomatology. Patient has an interest in quitting smoking. He denies palpitations, syncope, pre-syncope, PND, or orthopnea. Patient has had no peripheral edema or shortness of breath at rest. He has had no episodes where the pain lasted greater than one-half hour.

#### #2 Right knee pain

Patient has had an 8-year history of right knee pain. Patient works as a construction worker and had a fork lift injury 8 years ago. Since that time, he has had more difficulty getting around on his right knee. It pops occasionally, but it never locks. It has not given out on him, but he has constant pain for which he takes ibuprofen on a regular basis. Patient used to be an avid golfer, but he has not been able to participate since the injury. This has also effected his work, as he has had difficulty climbing which is sometimes required in his profession.

#### #3 Nicotine dependence

Patient smokes a pack a day and has a 80-pack-year smoking history. He was smoking less than this until last year. Patient states his stress at work is the factor that has caused an increase in smoking, and he will be willing to see the Nicotine Dependence Center. In the past, he has tried to quit on his own without help of nicotine patches or any other nicotine replacement or Wellbutrin.

#### #4 Obesity

Patient is somewhat overweight and has had difficulty losing weight despite being a smoker. Patient has tried dieting and exercising programs, but since his inability to exercise with the right knee injury, he has had more difficulty with exercise and has not been able to lose weight. Patient states he watches his diet quite closely and has been limiting his caloric intake. To that end, he has actually lost 8 pounds over the last 6 months.

#### #5 Diabetes Mellitus Type ii

Patient denies polyuria and polydipsia however he is well controlled with Levemir Insulin 28 U SQ bid and Metformin 1000 mg bid. He has peripheral diabetic neuropathy, nephropathy and retinopathy.

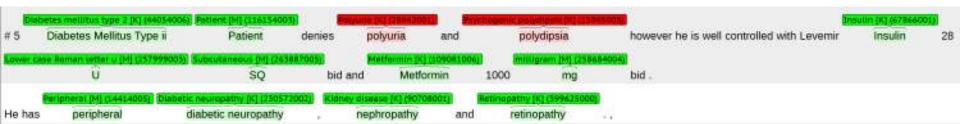
# Physical Examination (Relevant Sections)

- Extremities Without clubbing, cyanosis, or edema. + Neuropathy with 3+/5+ loss of sensation in both feet to the ankle.
- Neuro Cranial nerves 2 through 12 were intact. Visual fields were within normal limits.
  Pupils were equal and reactive to light and accomodation. Sensation was intact and
  bilaterally symmetric in his arms but a loss of sensation was found in his feet using a
  microfilliment examination. Motor was 5+/5+ bilaterally symmetric. Deep tendon reflexes
  were 2+/2+ and were symmetric bilaterally. Romberg was normal. Cerebellar signs
  were absent. Babinski was down going bilaterally.

### History Encoded in SNOMED CT



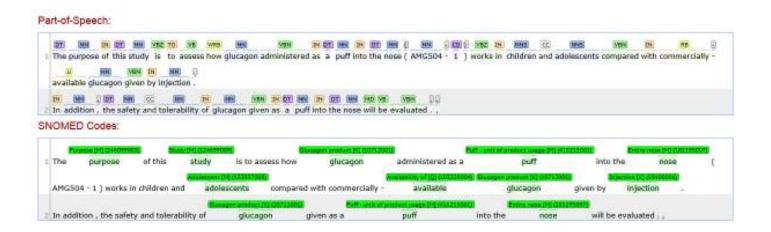
# History





# **Assessment of Intranasal Glucagon in Children and Adolescents With Type 1 Diabetes**

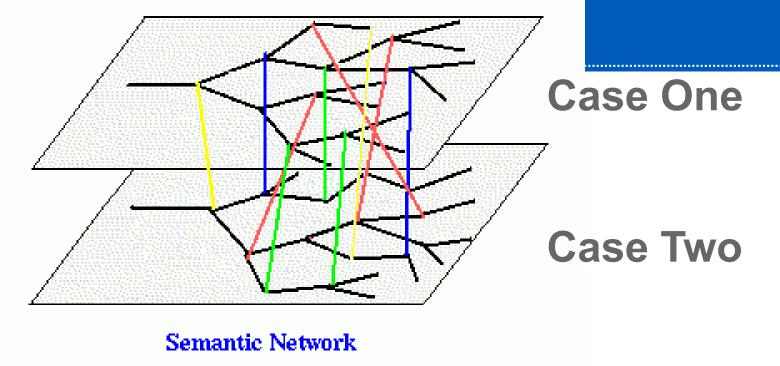
The purpose of this study is to assess how glucagon administered as a puff into the nose (AMG504-1) works in children and adolescents compared with commercially-available glucagon given by injection. In addition, the safety and tolerability of glucagon given as a puff into the nose will be evaluated.



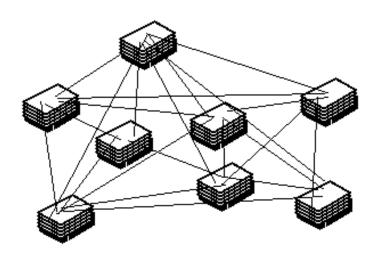
# Rational Knowledge Representation

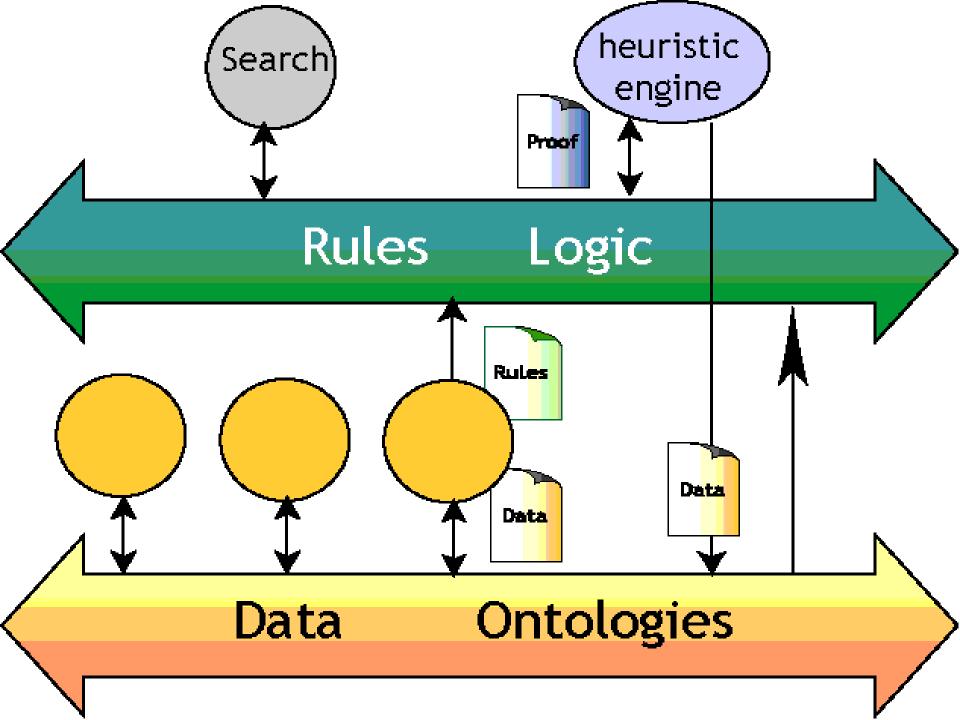
 Cellulitis of the left foot with Osteomyelitis of the Third metatarsal without Lymphangitis

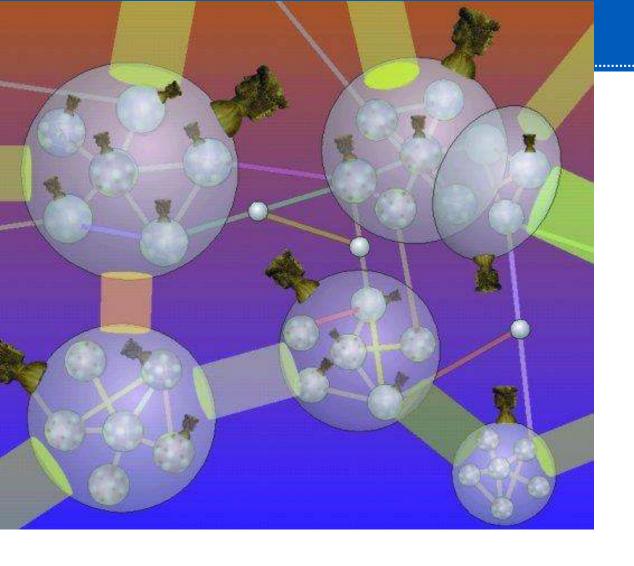
[AND]		
• •	[WITH]	
	Cellulitis (disorder) [128045006]	
	[has Finding Site]	
	Entire foot (body structure) [302545001]	
	[has Laterality]	
	Left (qualifier value) [77710	<u>)00]</u>
-	Osteomyelitis (disorder) [60168000]	
-	[has Finding Site]	
	Entire third metatarsal (body structure) [1821340	06]
	[WITHOUT]	
	<u>Lymphangitis (disorder) [1415005]</u>	



Multi-Center Data Sharing and Interchange

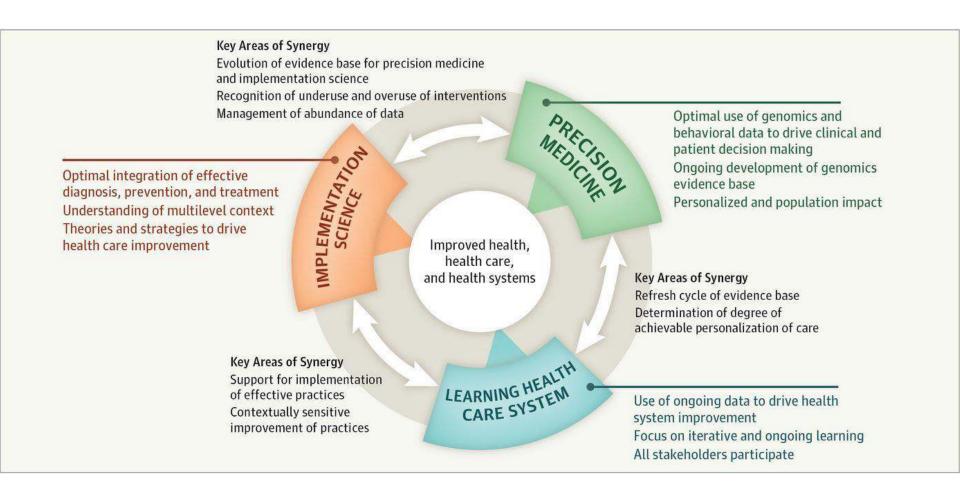


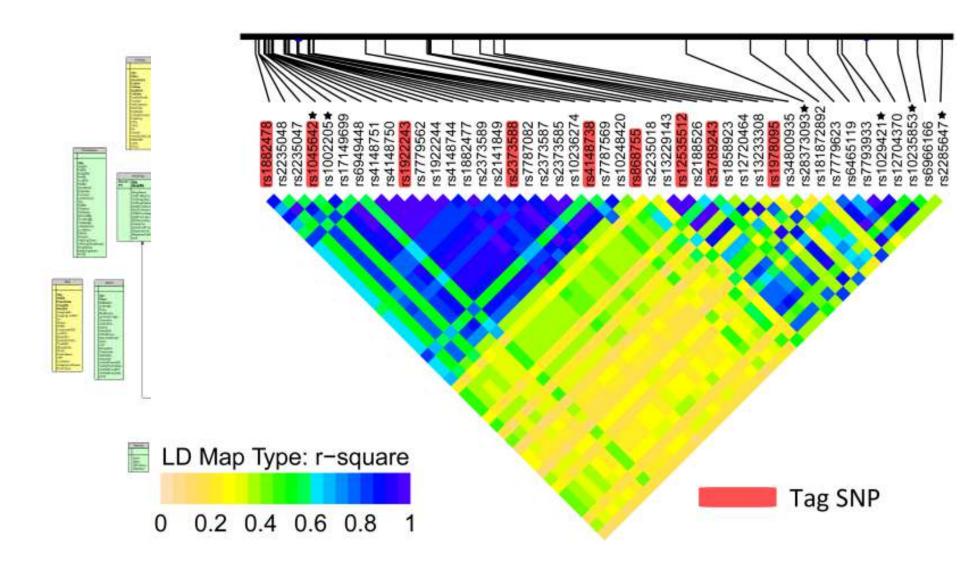




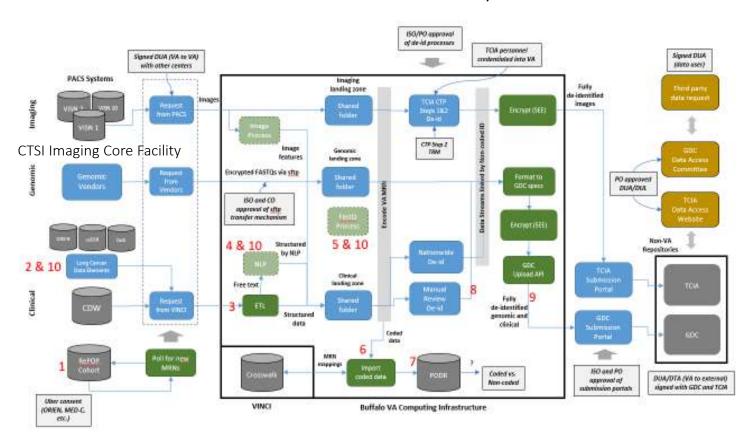
**Intelligent Agents** 

### The Evolution of Healthcare

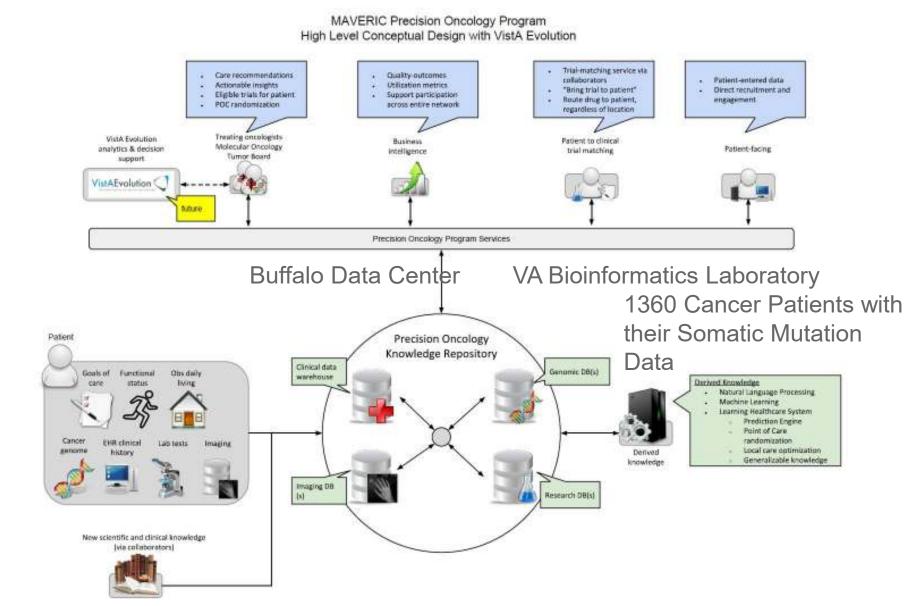




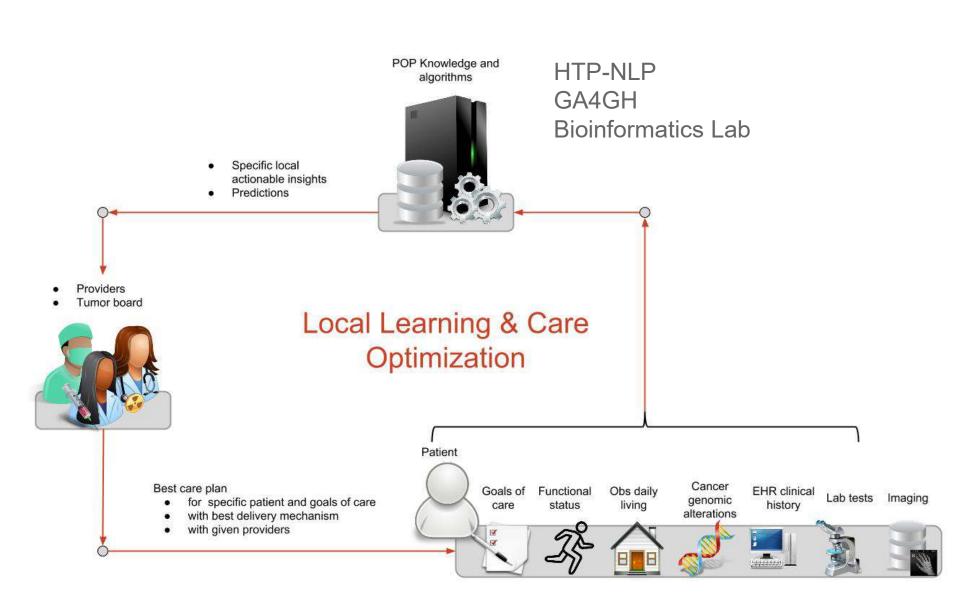
#### CTSI Biomedical Informatics Core Facility Architecture



# Precision Oncology (POP) – Big Picture



# Learning Healthcare System Model

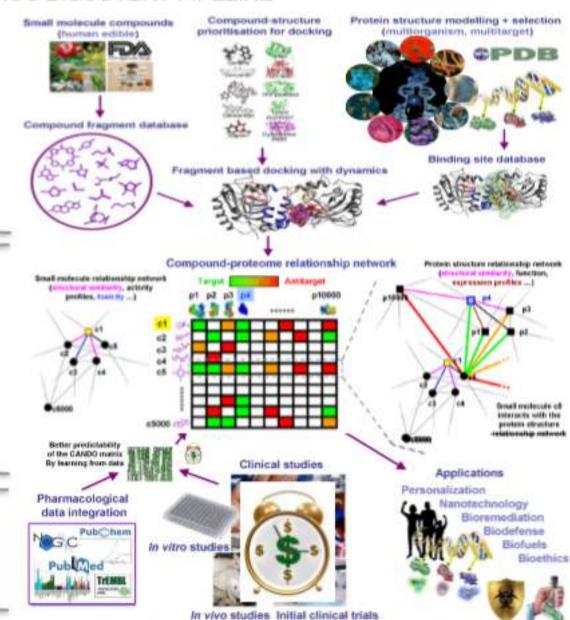


Knowledge based fragment docking with dynamics

> Systems based multitarget drug discovery

Prospective validation followed by clinical studies, other applications

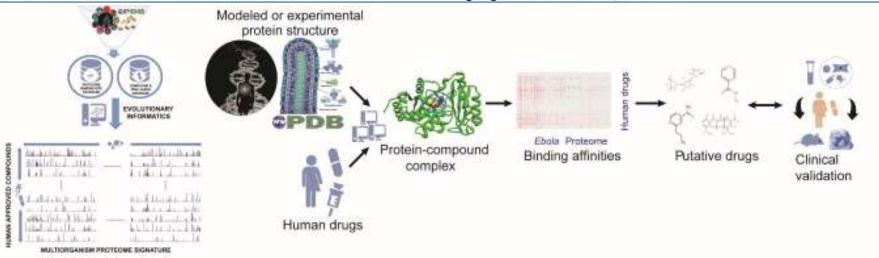
### SHOTGUN MULTITARGET DRUG DISCOVERY PIPELINE



# CANIMUS YAR OSPECTIVE VALIDATIONS Clinical and Translational Science Institute

-		-		
	₹		University at E	3 u
	L		The State University	

	Part de la constant d	The second of the second of		The second secon
	Validations			Reference
Putative primary cause	(total)	[★ = in vivo]	Source / Collaborator	(or TBP)
autoimmune, genetic	10	1/1 ★	Gaurav Chopra, UCSF	TBP
S. mutans	10	10/10	Jeremy Horst, UCSF	[5, 22], TBP
Dengue virus	31	11/27	Scott Michael, FGCU	TBP
HSV, CMV, KSHV (all)	29	6/29	Michael Lagunoff, UW; ImQuest Biosciences, Inc.	TBP
M. tuberculosis	17	4/8	Michael Strong, NJHC	TBP
autoimmune	≈20	1/1	Keith Elkon, UW	TBP
HBRV	≈20	12 / 12	Andrew Mason, U. Alberta	TBP
Hepatitis B virus	31	3 / 31	ImQuest Biosciences, Inc.	[14], TBP
Influenza A virus	24	0 / 24	ImQuest Biosciences, Inc.	[14], TBP
HIV 1 & 2	≈40	ongoing	James Mullins, UW	
metabolic, genetic	≈80	ongoing	Jay Heinecke, UW	-
neoplastic disorder	40	ongoing	Natini Jinawath, Ramathibodi Hospital, Thailand	
Ebola virus	<b>≈</b> 40	ongoing	Michael Katze, UW	
Influenza viruses	≈40	ongoing	various	
Hepatitis C virus	≈20	ongoing	Lorne Tyrell, U. Alberta	
M. tuberculosis	40	ongoing	Prasit Palittapongarnpim, Mahidol U, Thailand	
P. aeruginosa	≈40	ongoing	Pradeep Singh, UW	
Yellow fever virus	≈20	ongoing	Scott Michael, FGCU	
	autoimmune, genetic S. mutans Dengue virus HSV, CMV, KSHV (all) M. tuberculosis autoimmune HBRV Hepatitis B virus Influenza A virus HIV 1 & 2 metabolic, genetic neoplastic disorder Ebola virus Influenza viruses Hepatitis C virus M. tuberculosis P. aeruginosa	autoimmune, genetic 10  S. mutans 10  Dengue virus 31  HSV, CMV, KSHV (all) 29  M. tuberculosis 17  autoimmune ≈20  HBRV ≈20  Hepatitis B virus 31  Influenza A virus 24  HIV 1 & 2 ≈40  metabolic, genetic ≈80  neoplastic disorder 40  Ebola virus ≈40  Influenza viruses ≈40  Hepatitis C virus ≈20  M. tuberculosis 40  P. aeruginosa ≈40	Putative primary cause         (total)         [★ = in vivo]           autoimmune, genetic         10         1/1 ★           S. mutans         10         10/10           Dengue virus         31         11/27           HSV, CMV, KSHV (all)         29         6/29           M. tuberculosis         17         4/8           autoimmune         ≈20         1/1           HBRV         ≈20         12 / 12           Hepatitis B virus         31         3 / 31           Influenza A virus         24         0 / 24           HIV 1 & 2         ≈40         ongoing           metabolic, genetic         ≈80         ongoing           neoplastic disorder         40         ongoing           Ebola virus         ≈40         ongoing           Influenza viruses         ≈40         ongoing           Hepatitis C virus         ≈20         ongoing           M. tuberculosis         40         ongoing           P. aeruginosa         ≈40         ongoing	Putative primary cause         (total)         [★ = in vivo]         Source / Collaborator           autoimmune, genetic         10         1/1 ★         Gaurav Chopra, UCSF           S. mutans         10         10/10         Jeremy Horst, UCSF           Dengue virus         31         11/27         Scott Michael, FGCU           HSV, CMV, KSHV (all)         29         6/29         Michael Lagunoff, UW; ImQuest Biosciences, Inc.           M. tuberculosis         17         4/8         Michael Strong, NJHC           autoimmune         ≈20         1/1         Keith Elkon, UW           HBRV         ≈20         12 / 12         Andrew Mason, U. Alberta           Hepatitis B virus         31         3 / 31         ImQuest Biosciences, Inc.           Influenza A virus         24         0 / 24         ImQuest Biosciences, Inc.           HIV 1 & 2         ≈40         ongoing         James Mullins, UW           metabolic, genetic         ≈80         ongoing         Jay Heinecke, UW           neoplastic disorder         40         ongoing         Natini Jinawath, Ramathibodi Hospital, Thailand           Ebola virus         ≈40         ongoing         Michael Katze, UW           Influenza viruses         ≈40         ongoing         Lorne Tyrell



UPDATE: 58/163 (~36%) across 12 studies and 10 indications; first failure with infuenza.

# HTP-NLP & CANDO / CANDOCK

Clinical

Functional: Metabolome Structural: Proteome and Small

Structure and Function = Accurate Predictions => Bench Validations

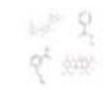


Modeled or experimental protein structure









Protein-drug ligand

Matrix of binding affinities

Top novel predictions



Drug or other ligand





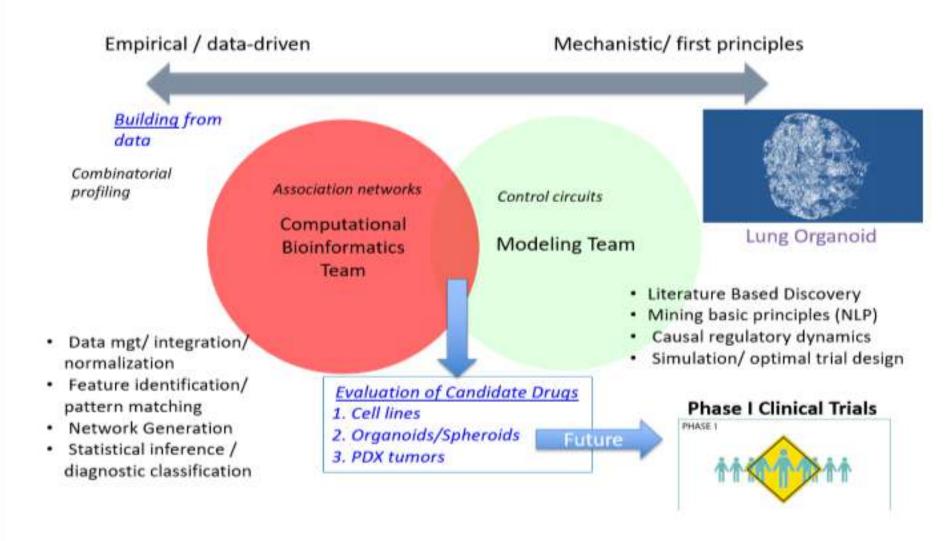


Ram Samudrala, PhD





## Computational to Validation Components



### Healthcare Value

- Value = Quality / Cost
- Quality is composed of:
  - Outcomes
  - Safety
  - Service
    - Reliability



## Measuring Strategic Performance

"You can't manage what you can't measure. You can't measure what you can't describe"

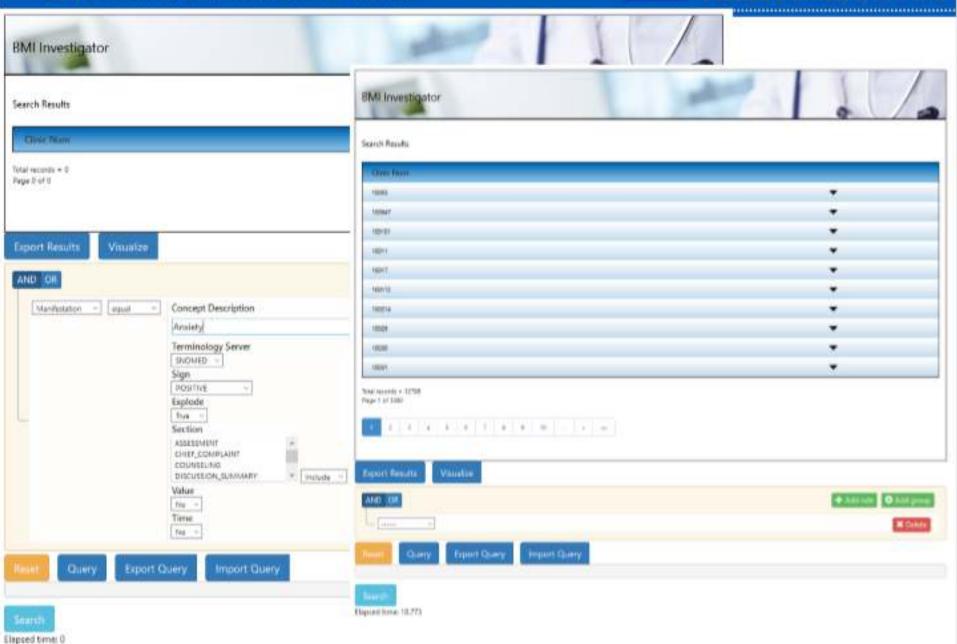
Robert Kaplan and David Norton
Authors of "The Balanced Scorecard"

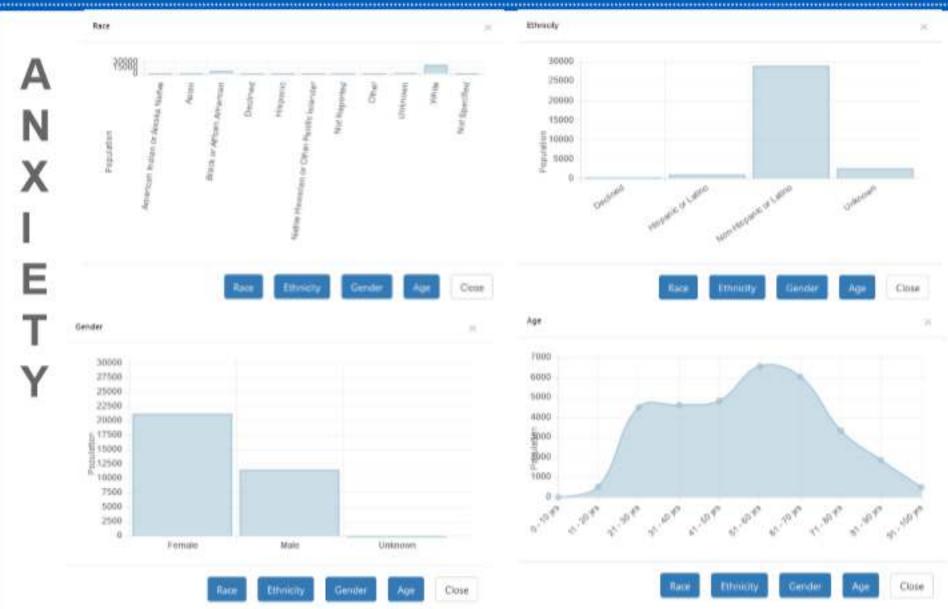




Framework that aligns the entire organization to what is important to the customer, allowing the organization to excel at the critical activities and reduce time spent on the things that don't matter



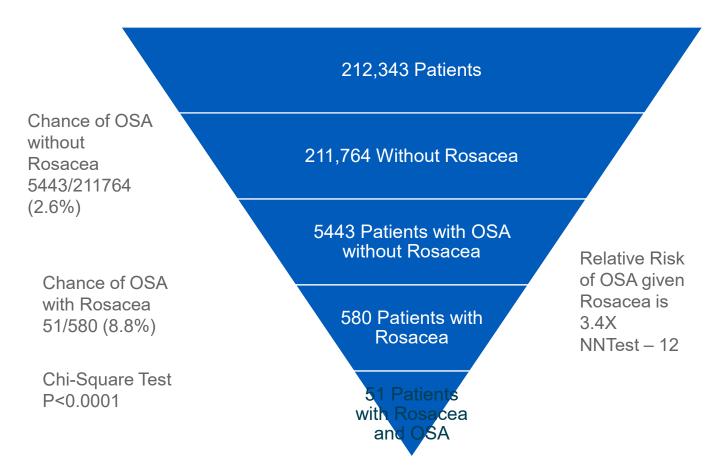




Social Determinants of Health



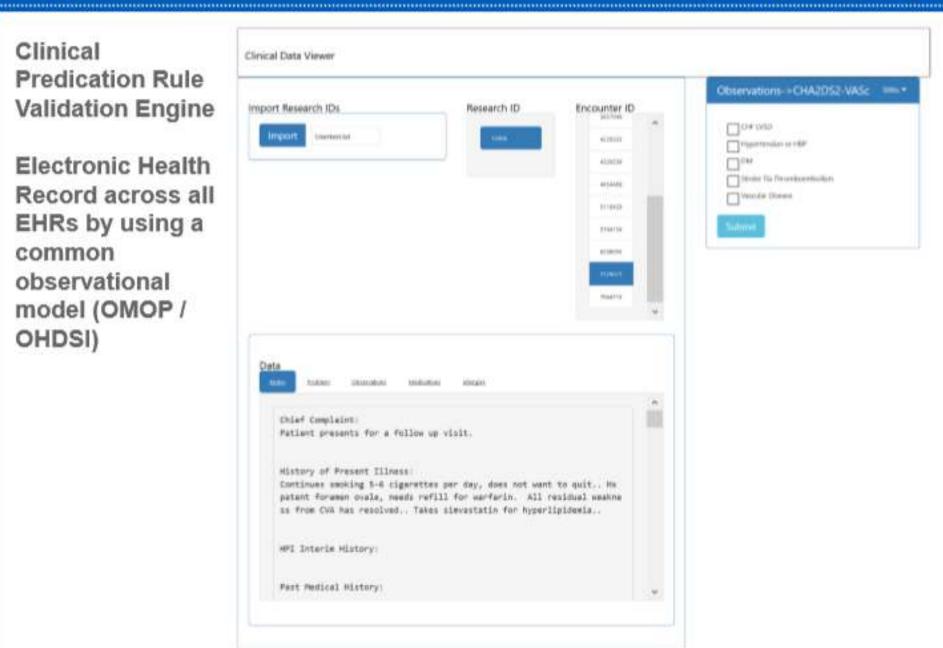
Study: Are patients with Rosacea at increased risk of having Obstructive Sleep Apnea?





## Clinical Predication Rule Validation Engine

Electronic Health Record across all EHRs by using a common observational model (OMOP / OHDSI)



## **Quality Accomplishments**

- Improved Quality of Care
  - Metrics and Measurement of Practice Outcomes
  - Patient Centered Medical Home
  - Quality Improvement Project Registry
  - Improved outcomes in Payer Measures
- Improvement in Internal Referrals
  - Went from 54% to 82% Internal Referrals
- DOM Strategic Plan Implementation
  - Quality Tools
  - Quality Structures
  - Support of New Multispecialty Clinical and Research Centers

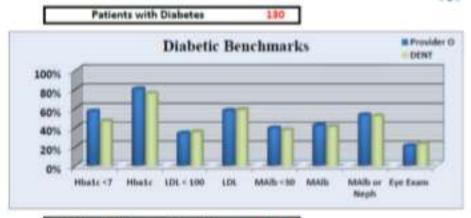
## From third to the last to the best in IHA Quality metrics





#### Provider 0

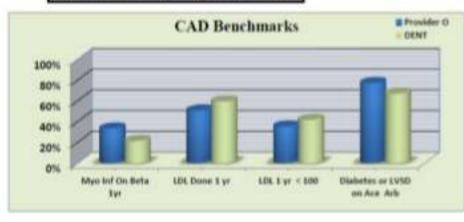




All benchmarks are within a one year period. Patient counts are on a provider level, unless otherwise nated.

	Provider	Practice
Hba1∈<7	56.9%	46.6%
Hbatc 1 yr	80.0%	75.8%
EDL <100	33.8%	35.EN
EDE 1 ye	57.7%	58.7%
MA/b < 10	39.2%	37.5%
MAIb 1yr	42.3%	40.9%
MAIb or Neph	53.1%	53.8%
Eye Exam	29.8%	22.8%

Patients with Coronary Artery Disease 65



Goals for benchmarks are 85% or higher for Tabs, vaccinations and exams. An 8% improvement from year to year is also considered meeting goals.

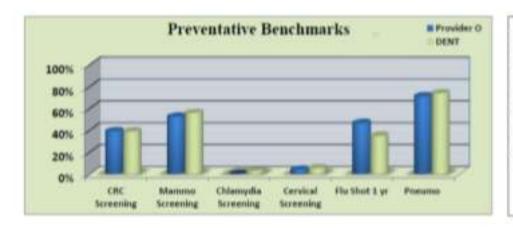
	Provider	Practice
Myocardial Infarction	3 Pts	47 951
Myo inf on Beta	33.2%	21.15
LDL Done Tyr.	50.8%	59.5%
LDL <100	35.4%	41.89
Diabetes or LVSD	22 Pts	219 Pb
Diab/LVSD on Ace/Arb	77.3%	67.1%



#### Provider 0



Patients Eligible for CRC Sreening	496	Patients Eligible for Mammo Sreening	423
Patients Eligible for Cervical Screening	584	Patients Eligible for Chlamydia Sceening	54
Patients Eligible for Flu Shot	957	Patients Eligible for Pneumo Shot	264

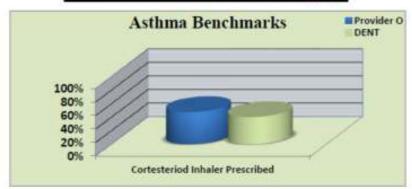


Colorectal Screening is colorascopy in the last 10 yrs or FOBT in the last 2 yrs for patients between 50 and 80. Mammagran Screening is reporting on wamen ages 42 to 69. Chlamydia Screening is reporting on patients between 18 and 24. Cervical Screening is Pap Smear in the last 3 yrs. Flu shot is done with in the last yr and Pneumo is a Pneumococcal vaccination lifetime

1	Provider	Practice
CRC Screening	29.1%	39.0%
Mammo Screening	52,5%	56.0%
Chlamydia Screening	0.0%	2.9%
<b>Cervical Cancer Screening</b>	4.1%	5.4%
Flu shot 1yr	46.7%	35.0%
Pneumo	71.2%	74.0%

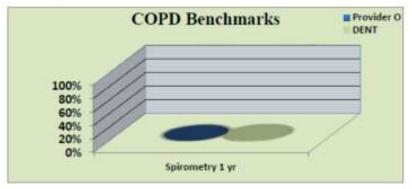






Cortesteroid Inhaler Prescribed 47.8% 40.3%

Patients with COPD	38

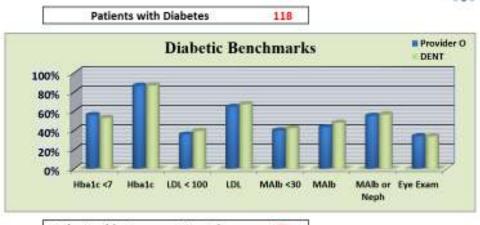


	Provider	Practice
Spirometry Test Done 1 yr	0.0%	0.4%



#### Provider O

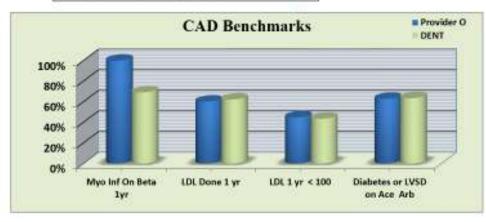




All benchmarks are within a one year period. Patient counts are on a provider level, unless otherwise noted.

0.00.0000000000000000000000000000000000	Provider	Practice
Hba1c <7	55.9%	52.8%
Hbalc 1 yr	86,4%	86.8%
LDL <100	35.6%	39.4%
LDL 1 yr	64.4%	67.2%
MAIb <30	39,8%	42.2%
MAIb 1yr	43.2%	47.9%
MAIb or Neph	55.1%	56,4%
Eye Exam	33.9%	33.8%

Patients with Coronary Artery Disease 78



Goals for benchmarks are 85% or higher for labs, vaccinations and exams. An 8% improvement from year to year is also considered

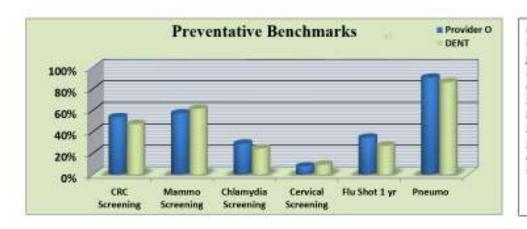
	Provider	Practice
Myocardial Infarction	1 Pts	13 Pts
Myo Inf on Beta	100.0%	69.2%
LDL Done 1yr	60.3%	62.3%
LDL <100	44.9%	43.6%
Diabetes or LVSD	27 Pts	206 Pts
Diab/LVSD on Ace/Arb	63,0%	63.6%



#### Provider 0



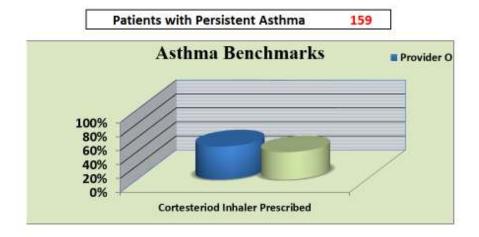
Patients Eligible for CRC Sreening	637	Patients Eligible for Mammo Sreening	486
Patients Eligible for Cervical Screening	699	Patients Eligible for Chlamydia Sreening	59
Patients Eligible for Flu Shot	1186	Patients Eligible for Pneumo Shot	361



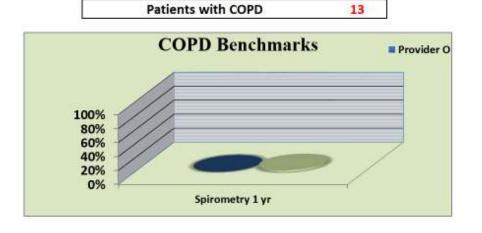
Colorectal Screening is colonoscopy in the last 10 yrs or FOBT in the last 2 yrs for patients between 50 and 80. Mammogran Screening is reporting on women ages 42 to 69. Chlamydla Screening is reporting on patients between 18 and 24. Cervical Screening is Pap Smear in the last 3 yrs. Flu shot is done with in the last yr and Pneumo is a Pneumococcal vaccination lifetime

	Provider	Practice
CRC Screening	53.5%	47.0%
Mammo Screening	57.0%	61.2%
Chlamydia Screening	28,8%	24.1%
<b>Cervical Cancer Screening</b>	7.7%	9.1%
Flu shot 1yr	34.5%	26.8%
Pneumo	90.3%	86,1%

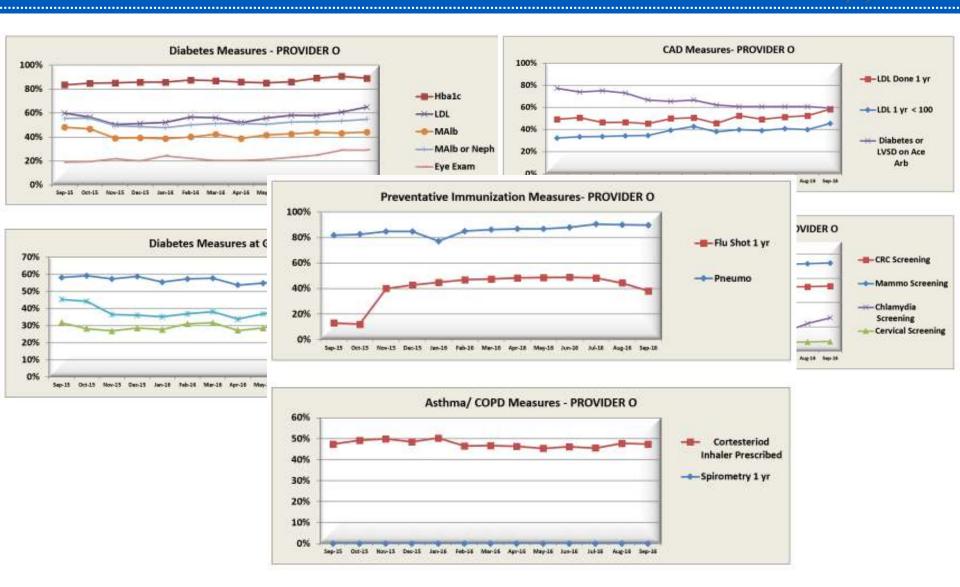


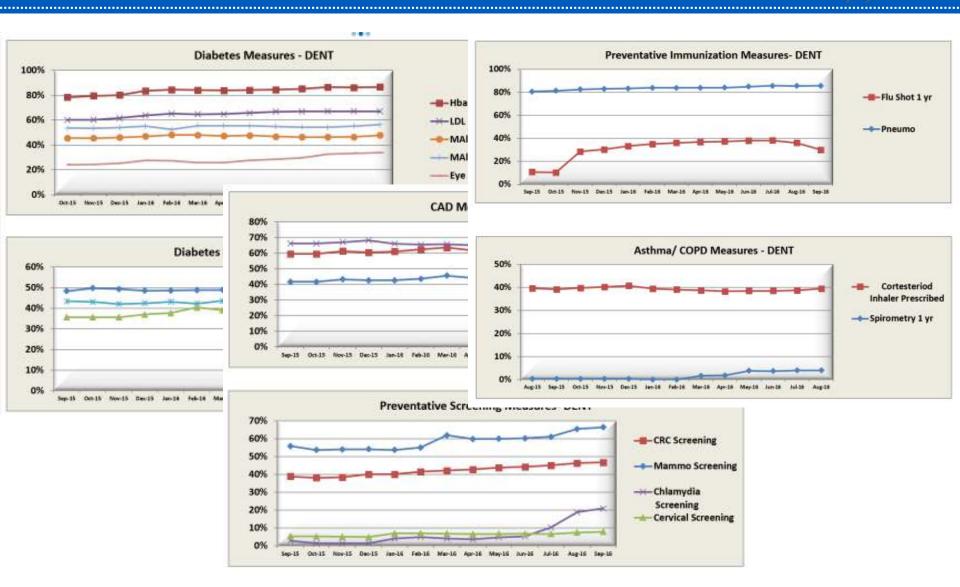


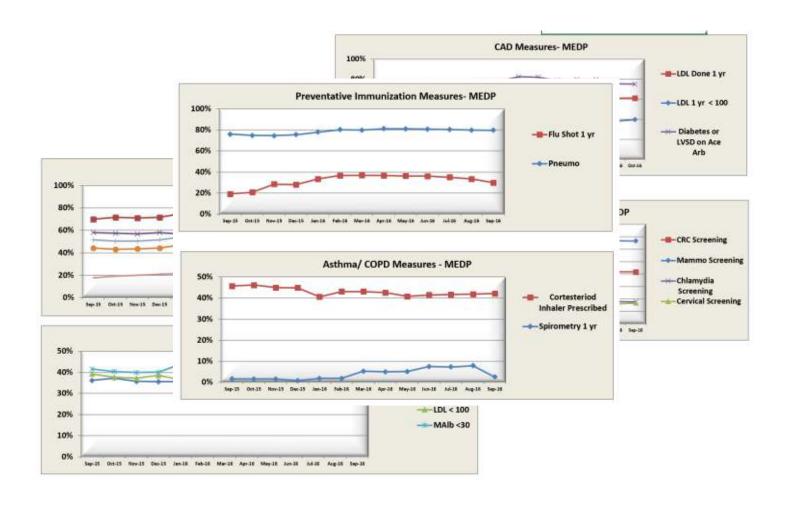
	Provider	Practice
<b>Cortesteroid Inhaler Prescribed</b>	47.2%	39.2%



	Provider	Practice
Spirometry Test Done 1 yr	0.0%	1.8%



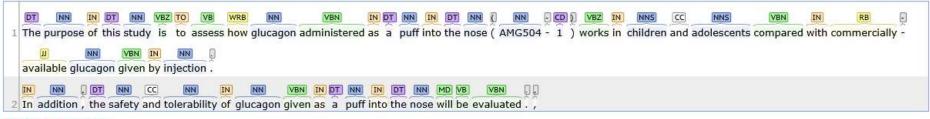




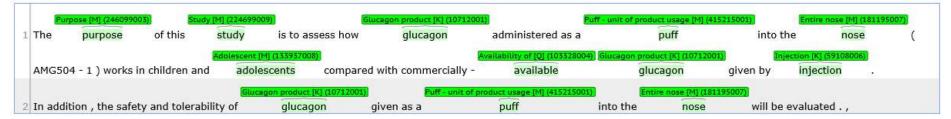
# **Assessment of Intranasal Glucagon in Children and Adolescents With Type 1 Diabetes**

The purpose of this study is to assess how glucagon administered as a puff into the nose (AMG504-1) works in children and adolescents compared with commercially-available glucagon given by injection. In addition, the safety and tolerability of glucagon given as a puff into the nose will be evaluated.

#### Part-of-Speech:



#### SNOMED Codes:



# Prescription Opioid Dependence in Western New York: Using Data Analytics to find an answer to the Opioid Epidemic

Shyamashree Sinha, Gale R Burstein, Kenneth E Leonard, Timothy F Murphy,
Peter L Elkin

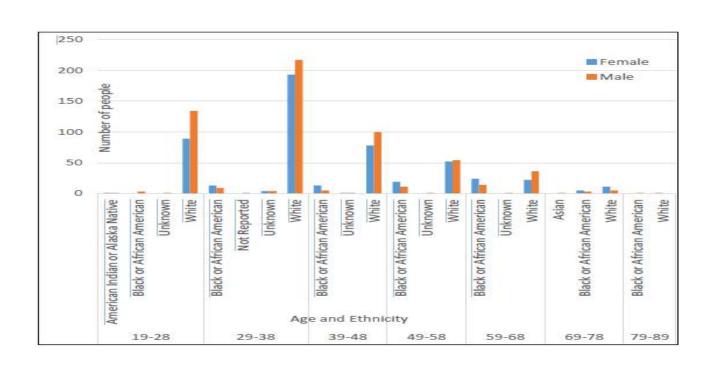
Department of Biomedical Informatics/ Department of Anesthesiology

Jacobs School of Medicine and Biomedical Sciences, University at Buffalo, The State

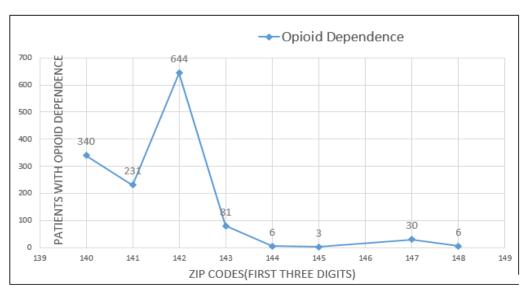
University of New York, Buffalo, New York



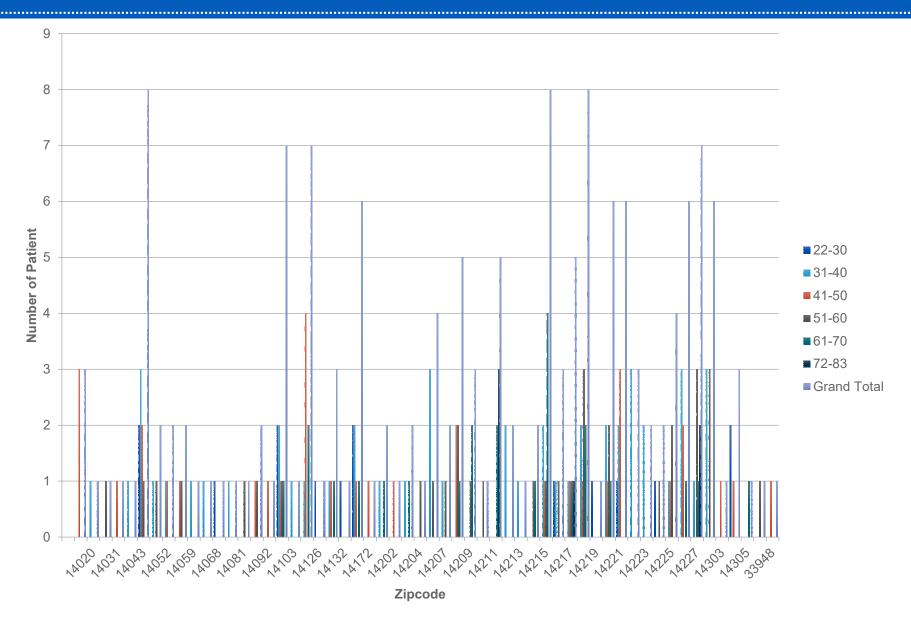
# Distribution of Opioid Dependence among the Non-Hispanic community in the clinic population of Western New York

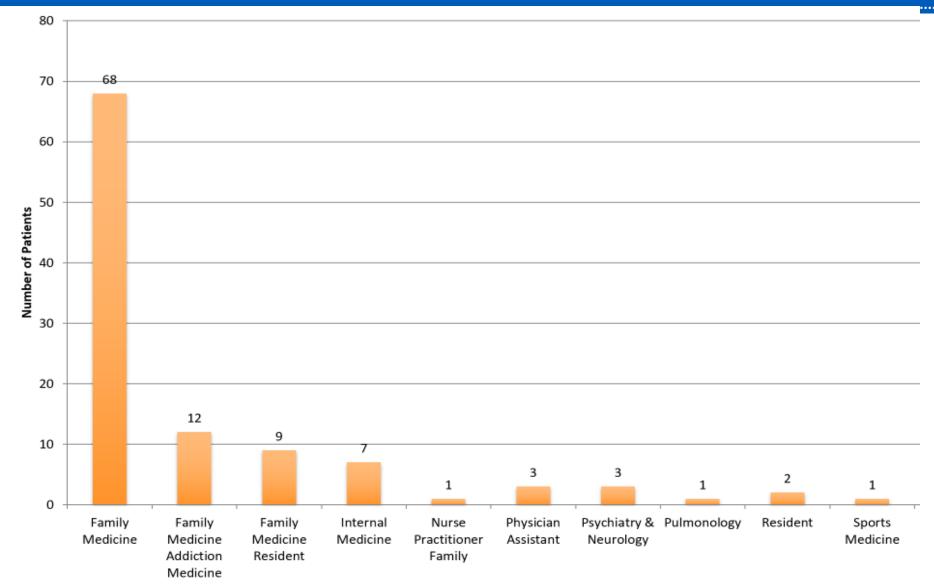


# Distribution of Opioid Dependence based on geographical location

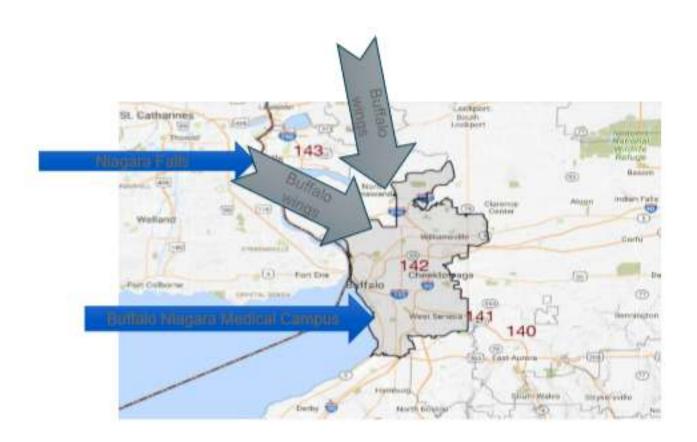


The distribution of the patients based on the first three numbers of the zip code showed area 142 had the highest number of opioid dependent population





**Specialty of Prescribing Practitioner** 



Map showing boundaries of area with zip code 142: https://www.maptechnica.com/zip3-prefix-map/142

# AI AND NATURAL LANGUAGE PROCESSING (NLP) TO **ENHANCE STRUCTURED DATA'S ABILITY TO IDENTIFY** NONVALVULAR ATRIAL FIBRILLATION PATIENTS AND THEIR STROKE AND BLEEDING RISK

Peter L. Elkin, MD, MACP, FACMI, FNYAM For the NVAF Surveillance Study team



## Goal of the study

 The goal of this study is to compare clinician-rated stroke and bleed risk assessments in Nonvalvular Atrial Fibrillation (NVAF) patients with assessments utilizing NLP derived codified EHR data for CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED scores.

## Research Questions

- Research Question: 1
- What is the accuracy of using structured data (ICD and CPT and Medication codes) alone vs. unstructured (ie, Clinical notes and reports, labs and Medications) plus structured data to identify patients who have Atrial Fibrillation?
- · Objectives:
- Compare structured data to structured and unstructured data using NLP to identify NVAF Patients - validated by clinician assessment

## Research Question 4

Does the method (using structured data only vs. structured plus unstructured data) of determining risk scores affect the treatment of NVAF patients for stroke prevention with OAC?

### Objectives:

- 1. Using structured and unstructured data assessments of CHA<sub>2</sub>DS<sub>2</sub>-VASc, HAS-BLED scores and contraindications for OAC, classify the patient cohorts as follows and compare the treatment rates with OAC.
  - 1. Would benefit and are on OAC;
  - 2. Would benefit but are not on OAC;
  - 3. Would not benefit and are on OAC;
  - 4. Would not benefit and are not on OAC

## Semi-Supervised Machine Learning

- Small Amount of Labeled Data and Large Amounts of Unlabeled Data
- Cheaper and Faster than a Fully Supervised Approach
- More accurate than an unsupervised approach
- Can be used to create models from a mixed dataset. These models can be used for Biosurveillance.
- Example:
  - Intuitively, we can think of the learning problem as an exam and labeled data as the
    few example problems that the teacher solved in class. The educator also provides a
    set of unsolved problems. In transductive reasoning, these unsolved problems are a
    take-home exam questions and you want to do well on them in particular. In inductive
    reasoning, these are practice problems of the sort you will encounter on the in-class
    exam.
- NSQIP Murff HJ, FitzHenry F, Matheny ME, Gentry N, Kotter KL, Crimin K, Dittus RS, Rosen AK, Elkin PL, Brown SH, Speroff T. <u>Automated identification of postoperative</u> <u>complications within an electronic medical record using natural language processing.</u> JAMA. 2011 Aug 24;306(8):848-55.
- NVAF Study in press, Circulation, 2017.

## Result

Table 1. Comparison of outcomes for Structured and Structured plus Unstructured data against the gold standard.

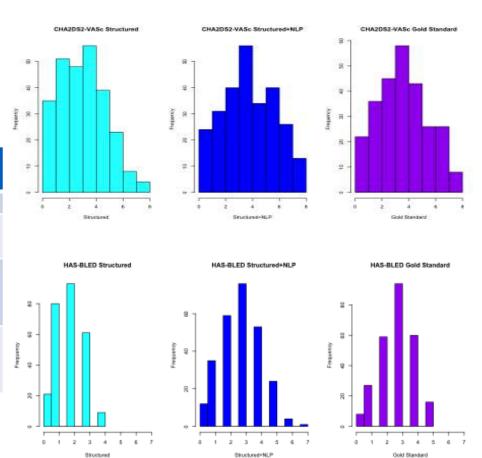
Outcome	Structured	Structured+NLP	P
Sensitivity	.773 (.68, .79)	1 (.979,1)	< 0.001
Specificity	.47 (.258, .65)	.444 (.279, .619)	0.317
PPV	.91 (.87, .95)	.93 (.893, .956)	0.007
NPV	.215(.131, .322)	1 (.713, 1)	< 0.001
kappa	.156 (.041, .271)	.585 (.414, .733)	< 0.001

- Out of the 96,681 patients identified in the AllScripts EHR database, 2.8% (2722 cases) were identified with NVAF by the Structured+NLP method as opposed to 1.9% for Structured alone (1849 cases) with a difference of 873 cases
- Out of the 96,681 patients identified in the AllScripts EHR database, 2.8% (2722 cases) were identified with NVAF by the Structured+NLP method as opposed to 1.9% for Structured alone (1849 cases) with a difference of 873 cases
- Based on the PPV adjusting the true positive rates for both ICD9 and NLP alone this converts to a 36.3 % improvement identification of true cases in this NVAF cohort.

## Histograms of CHA<sub>2</sub>DS<sub>2</sub>-VASC Scores and HAS-BLED scores

## **Results:**

Table 2.1. Pea				
<b>Product Mom</b>	ent			
	Structured		Structured+NLP	
	estimate	p-	estimate	p-
	(95% CI)	value	(95% CI)	value
	0.819			
CHA <sub>2</sub> DS <sub>2</sub> -	(0.775,0.855		0.898	
VASC Score	)	<.001	(0.872, 0.92)	<.001
	0.688		0.717	
HAS-BLED	(0.619,0.747		(0.652, 0.771	
Score	)	<.001	)	<.001





Sensitivity and Specificity of Outcomes Compared to Gold Standard				
HAS-BLED		CHA <sub>2</sub> DS <sub>2</sub> -VASC		
Method: McNemar		Method: Exact Binomial		
Sensitivity		Sensitivity	1	
Structured	0.382	Structured	0.942	
Structured+NLP	0.806	Structured+NLP	0.983	
Difference	0.424	Difference	0.0413	
Test Statistic	72	Test Statistic	-	
p-value	<.0001	p-value 0.00		
Method: McN	emar	Method: Exact Bi	nomial	
Specificity		Specificity		
Structured	0.947	Structured	0.955	
Structured+NLP	0.777	Structured+NLP 0.9		
Difference	-0.17	Difference -0.04		
Test Statistic	16	Test Statistic -		
p-value	<.0001	p-value 1		
Method: Generalized Score		Method: Generalize		
Positive Predictive Value		Positive Predictive Value		
Structured	0.929	Structured	0.996	
Structured+NLP	0.867	Structured+NLP	0.992	
Difference	.061	Difference	0.004	
Test Statistic	4.487	Test Statistic	0.915	
p-value	0.034	p-value	0.339	
Negative Predictive Value		Negative Predictive Value		
Structured	0.459	Structured 0.		
Structured+NLP	0.689	Structured+NLP	0.833	
Difference	0.23	Difference	0.233	
<b>Test Statistic</b>	47.757	Test Statistic	11.662	
p-value	<.00001	p-value	<0.001	



## Area under the Curves (AUC)

C-Index and Somer's D using
Ordinal Logistic Regression
(where probabilities are modelled
as P(Y>=k|X))
(R rms and Hmisc packages)

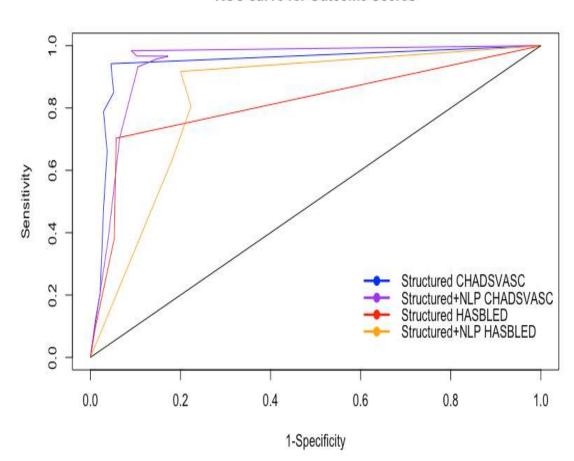
C-index Structured  $CHA_2DS_2$ -VASC: 0.863 (CI:0.838, 0.887) (Somer's D (D<sub>xy</sub>): 0.726, SD=0.025)

C-index Structured+NLP CHA<sub>2</sub>DS<sub>2</sub>-VASC: 0.914 (CI: 0.896, 0.933) (Somer's D (D<sub>xy</sub>): 0.829, SD=0.0185) Z=0.625/.0316=19.776

CHA<sub>2</sub>DS<sub>2</sub>-VASC: Compared to Standard normal distribution\*: 2-

Sided p-value: <0.001 1-Sided p-value: <0.001

#### **ROC curve for Outcome Scores**



## Predictive Risk Model Generation of Requiring Rx with OAC and not being currently on treatment

		Would Benefit and On OAC	Would Benefit and Not on OAC	Would Not Benefit and Are on OAC	Would Not Benefit and Are Not on OAC
	CHA₂DS₂-VASc ≥2 AND HAS-BLED <3 and Contraindication	3	2	0	1
Gold Standard with Contraindication	CHA <sub>2</sub> DS <sub>2</sub> -VASc ≥ 2AND HAS-BLED ≥ 3 and Contraindication	6	0	0	1
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and Contraindication	0	0	0	1
	CHA₂DS₂-VASc ≥2 AND HAS-BLED <3 and No Contraindication	38	15	0	14
Gold Standard with No Contraindication	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;2</u> AND HAS-BLED ≥ 3 and No Contraindication	129	16	1	16
Contramalcation	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and No Contraindication	10	3	0	8
Structured with Contraindication	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;</u> 2 AND HAS-BLED <3 and Contraindication	4	1	0	0
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;2</u> AND HAS-BLED ≥ 3 and Contraindication	3	1	0	0
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and Contraindication	0	0	0	0
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;</u> 2 AND HAS-BLED <3 and No Contraindication	109	25	0	21
Structured with No Contraindication	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;2</u> AND HAS-BLED ≥ 3 and No Contraindication	49	5	0	11
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and No Contraindication	21	4	1	8
Structured+NLP with Contraindication	CHA₂DS₂-VASc ≥2 AND HAS-BLED <3 and Contraindication	2	0	0	1
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt; AND HAS-BLED ≥</u> 3 and Contraindication		2	0	1
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and Contraindication	0	0	0	0
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;</u> 2 AND HAS-BLED <3 and No Contraindication	53	17	1	8
Structured+NLP with No Contraindication	CHA <sub>2</sub> DS <sub>2</sub> -VASc <u>&gt;2</u> AND HAS-BLED ≥ 3 and No Contraindication	113	13	0	23
	CHA <sub>2</sub> DS <sub>2</sub> -VASc <2 and No Contraindication	12	4	0	8

## AI Biosurveillance: Population of NVAF in the USA

Population for Rates	Truven	Optum	Total	Event Rates in %
1. All the patients enrolled during Oct 2015 - Sep 2016	32,046,193	31,249,927	63,296,120	
2. (1) and age>=18 in 2016	25,400,465			
3. (2) and with any diagnosis of AF during Oct 2015 - Sep 2016 (first = index date)	422,092	865,072	1,287,164.00	
4. (3) and without VHD diagnosis during 1-year pre-index	355,811	611,990	967,801.00	1.52%
5. (4) and CHADS-VASc >= 2 and no contraindications to OAC	276,465	539,775	816,240.00	84.34%
6. (5) and Untreated	179,441	316,308	495,749.00	60.74%
Stroke Rate	11,530	10491	22,021.00	4.44%
Death Rate	727	593	1,320.00	5.99%

Year After to the PMPM Stroke Stroke Difference	-	Annual PM Inflation adjusted Difference
\$11,130.30 \$2,665.40 \$ 8,464.		

## **Artificial Intelligence Based Disease Surveillance: The Case of NVAF**

Structured	Structured Plus Unstructured	<b>Difference Between the Two Methods</b>
4,955,284	6,754,052	1,798,768
4,543,995	6,193,466	1,649,470
3,009,840	4,102,411	1,092,572
133,637	182,147	48,510
•	,	·
\$13,235,529,625.06	\$ 18,040,026,878.96	\$ 4,804,497,253.90
	4,955,284 4,543,995 3,009,840 133,637 8,005	4,955,284 6,754,052  4,543,995 6,193,466  3,009,840 4,102,411  133,637 182,147  8,005 10,911



## Strokes Prevented: Biosurveillance of NVAF patient cohorts CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Scores using Natural Language Processing and SNOMED CT

Peter L. Elkin, MD, MACP, FACMI, FNYAM<sup>1</sup>, Sarah Mullin, MS<sup>1</sup>, Chris Crowner, MS<sup>1</sup>, Sylvester Sakilay, MS<sup>1</sup>, Shyamashree Sinha, MD MBA, MPH<sup>1</sup>, Gary Brady, PharmD, MBA<sup>2</sup>, Marcia Wright, PharmD<sup>2</sup>, Kim Nolen, BS, PharmD<sup>2</sup>, JoAnn Trainer, PharmD<sup>2</sup>, Sashank Kaushik, MD, MBA<sup>1</sup>, Jane Zhao, MD<sup>1</sup>, Buer Soug, MD, PhD<sup>1</sup>, Edwin Anand, MD<sup>1</sup>

<sup>1</sup>University at Buffalo, Buffalo, NY; <sup>2</sup>Pfizer, New York, NY

Circulation, 2017
Presented at the
American Heart
Association Meeting

#### Introduction

Nonvalvular Atrial Fibrillation (NVAF), is estimated to affect 5.8 million people in the US. NVAF results in a five times greater stroke risk. This study compared the accuracy of structured ICD9 vs. electronic health record (EHR) data including clinical note text using Natural Language Processing (NLP), to identify NVAF cases and the CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Scores.

#### Mathada

The retrospective EHR cohort study included patients of age 18 to 90 with a diagnosis of NVAF. Following application of the inclusion / exclusion criteria, an electronic model for structured data using ICD-9 criteria and for unstructured data

using a NLP to SNOMED CT algorithm, a high throughput phenotyping system that rapidly assigns ontology terms to text in patient records, was applied to identify the NVAF population and their CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Scores. A random sample of 300 patients was reviewed independently by two or three clinicians to create the gold standard NVAF cohort with CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED Scores.

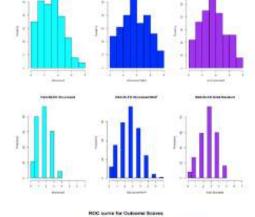
#### Results

Out of the 96,681 patients identified in the AllScripts EHR data, 2.8% (2722 cases) were identified with NVAF by the Structured+NLP method as opposed to 1.9% for Structured alone (1849 cases) with a difference of 873 cases (32.1%, p<0.001). The sensitivity of the structured plus NLP method for the CHA2DS2-VASc and HAS-BLED was superior to the structured data alone (by 0.04, p=0.002 and 0.42, p<0.001 respectively). Clinical review showed that the untreated & met the criteria for treatment rate was 13.636%.

#### Conclusion

The Structured+NLP data extraction method had a higher sensitivity in comparison to Structured data alone, allowing for an increased number of true positive cases to be identified. If we extend these results nationally, this strategy could identify another 2,098,800 NVAF patients and an excess of 286,192 patients eligible for OAC Rx beyond ICD9 surveillance. This could prevent 11,448 strokes and save 687 lives at a savings of \$832,498,500 each year.

Figure 1. Histograms of CHAD, VASC, Scores and HAS-BLED scores



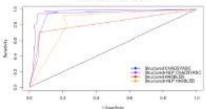


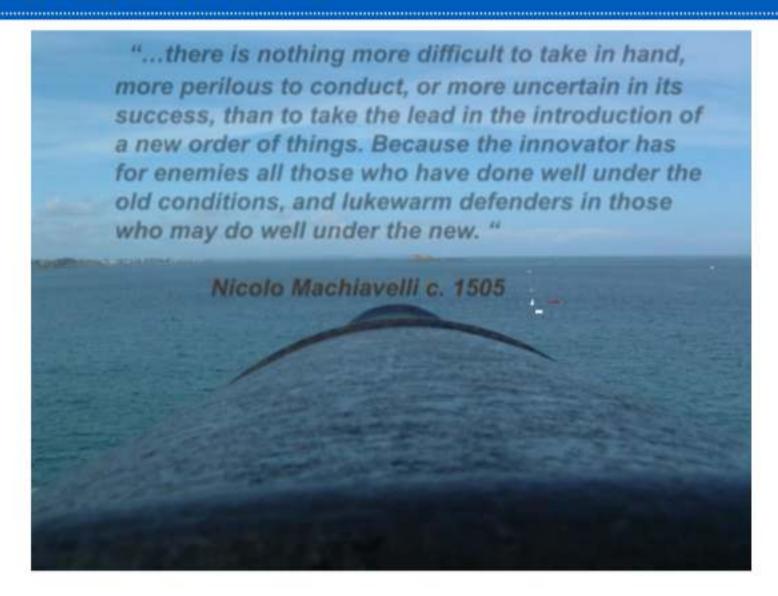
Figure 2: ROC Curve for the CHA<sub>2</sub>DS<sub>7</sub>-VASc and HAS-BLED Surveillance using either the Structured or the Structured Plan Unstructured Methods

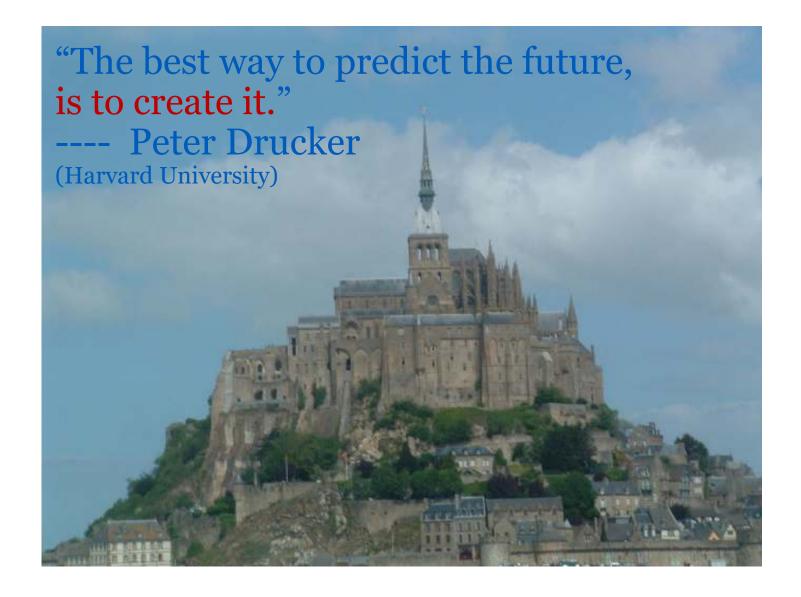
## Conclusions

- Natural Language Processing is not only highly accurate, but also is now providing transaction speeds that make it practical for clinical applications.
- HTP-NLP is available for academic partnerships
- NLP is necessary to practically implement Semantic Interoperability
- Cross Validation of Data from a Variety of Datatypes is necessary to ensure accuracy
- Standardized Phenotypes can be shared and reused to ensure consistent population identification and data interoperability

## Conclusions

- Clinical Decision Support assists clinicians in caring for their patients
- Biomedical Informatics partnering with Clinicians toward safer and more effective clinical care
- Biomedical Informatics as a Field deals with more than just computer in medicine
- Clinical Informatics is a new ABMS approved medical subspecialty that trains clinicians as future leaders of healthcare and healthcare organizations.





This program is supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under award number UL1TR001412 to the University at Buffalo.

